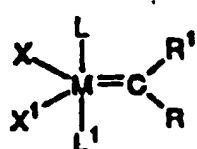




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>C08F 4/80, 32/04, 32/08</b>	<b>A1</b>	(11) International Publication Number: <b>WO 97/20865</b> (43) International Publication Date: <b>12 June 1997 (12.06.97)</b>
<p>(21) International Application Number: <b>PCT/US96/19216</b></p> <p>(22) International Filing Date: <b>3 December 1996 (03.12.96)</b></p> <p>(30) Priority Data:              60/008,356           7 December 1995 (07.12.95)   US              08/759,018           2 December 1996 (02.12.96)   US</p> <p>(71) Applicant: <b>ADVANCED POLYMER TECHNOLOGIES</b>              [US/US]; 2nd floor, 2201 Forsythe, P.O. Box 7572,              Monroe, LA 71201 (US).</p> <p>(72) Inventors: <b>WOODSON, Charles, S., Jr.</b>; Apartment #178, 3111              Old Sterlington Road, Monroe, LA 71203 (US). <b>GRUBBS,</b>              <b>Robert, H.</b>; 1700 Spruce Street, South Pasadena, CA 91030              (US).</p> <p>(74) Agents: <b>JAMES, Patricia, Coleman et al.</b>; Limbach &amp; Limbach              L.L.P., 2001 Ferry Building, San Francisco, CA 94111 (US).</p>	<p>(81) Designated States: <b>AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</b></p> <p><b>Published</b>  <i>With international search report.          Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>	
<p>(54) Title: <b>METHOD FOR EXTENDING THE POT LIFE OF AN OLEFIN METATHESIS POLYMERIZATION REACTION</b></p> <div style="text-align: center;">  <p>(I)</p> </div> <p>(57) Abstract</p> <p>The application describes compositions and methods for catalyzing and controlling the rate of olefin metathesis reactions including Ring Opening Metathesis Polymerization (ROMP) reactions where the composition includes a Ruthenium or Osmium carbene complex having formula (I) and a gel modification additive. In the formula M may be Os or Ru; R and R' may be the same or different and may be hydrogen or a substituent group including C<sub>2</sub>-C<sub>20</sub> alkenyl, C<sub>2</sub>-C<sub>20</sub> alkynyl, C<sub>1</sub>-C<sub>20</sub> alkyl, aryl, C<sub>1</sub>-C<sub>20</sub> carboxylate, C<sub>1</sub>-C<sub>20</sub> alkoxy, C<sub>2</sub>-C<sub>20</sub> alkenyloxy, C<sub>2</sub>-C<sub>20</sub> alkynyloxy, aryloxy, C<sub>2</sub>-C<sub>20</sub> alkoxy carbonyl, C<sub>1</sub>-C<sub>20</sub> alkylthio, C<sub>1</sub>-C<sub>20</sub> alkylsulfonyl and C<sub>1</sub>-C<sub>20</sub> alkylsulfinyl; X and X' may be the same or different and may be any anionic ligand; and L and L' may be the same or different and may be neutral electron donor. The gel modification additive may be a neutral electron donor or a neutral Lewis base including phosphine, sulfonated phosphine, phosphite, phosphinite, phosphonite, arsine, stibine, ether, amine, amide, sulfoxide, carboxyl, nitrosyl, pyridine or thioether; or a trialkylphosphine or triarylphosphine.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

**PATENT APPLICATION****METHOD FOR EXTENDING THE POT LIFE OF AN  
OLEFIN METATHESIS POLYMERIZATION REACTION****Inventors: Charles S. Woodson and Robert H. Grubbs**

This application claims the benefit of U.S. Provisional application No. 60/008,356, filed December 7, 1995; titled "Method for Extending the Pot Life of an Olefin Metathesis Reaction"; inventors Charles S. Woodson and Robert H. Grubbs.

**BACKGROUND**

The present invention relates to compositions and methods for catalyzing and controlling the rate of olefin metathesis reactions. More particularly, the present invention relates to compositions and methods for catalyzing and controlling the rate of Ring Opening Metathesis  
5 Polymerization (ROMP) reactions and the molding of polymer articles using ROMP polymers.

The molding of thermoset polymers is a technologically important processing technique. In one version of this technique, a liquid monomer (e.g., an olefin) and a polymerization catalyst are mixed and poured, cast or  
10 injected into a mold. The polymerization proceeds (the article "cures") and on completion the molded part is removed from the mold for any post cure processing that is required. The polymerization reaction mixture may optionally contain added modifiers, fillers, reinforcements, pigments, etc.

To mold successfully, the reaction mixture must not cure so quickly  
15 that the liquid monomer/catalyst mixture polymerizes before the mixture can be introduced in to the mold. In addition, the mixture must not cure so quickly that it has polymerized before the mold is completely filled or before

the catalyst has had time to completely dissolve. For convenience and expedient cycle time, it is also important that the catalyst activate within a reasonable time after the mold is filled.

5 The time during which the liquid monomer/catalyst mixture can be worked after the monomer and catalyst is mixed is called the "pot life" of the polymerization reaction mixture. The ability to control the "pot life" becomes even more important in the molding of large parts. The monomer/catalyst mixture may also be applied to articles as a coating, and in this case it is also important to be able to control the "pot life" of the  
10 mixture. Generally, it would be useful to be able to control the rate of reaction of catalyzed metathesis reactions including ROMP reactions.

Reaction Injection Molding ("RIM") has previously been used for the molding of polymer articles using a polymerization catalyst and olefin monomer (U.S. Patents No 4,400,340 and 4,943,621). In these previous  
15 processes, a metal (W or Mo) containing compound is dissolved in a first monomer stream and an alkyl aluminum compound is dissolved in a second monomer stream. The monomer streams are then mixed and the metal containing compound and the alkyl aluminum compound react to form an active catalyst which then catalyzes the polymerization reaction. In the  
20 previous processes, the alkyl aluminum compound stream may also include an inhibitor, usually a Lewis base, which inhibits the rate of formation of the catalyst; however, in these previous processes, once the catalyst is formed, the polymerization reaction is extremely fast and there is no method to control the rate of polymerization initiated by the active catalyst species.

25 Previously, there has been few methods for producing a mixture of active catalyst species and monomer and controlling the rate of polymerization of the mixture other than by controlling the temperature of the monomer or the mold. Such control would be useful, for example, to produce a catalyst/monomer mixture in which the catalyst is substantially  
30 deactivated at room temperature. This mixture may then be poured, cast, or

injected into a mold and the polymerization may then be initiated by heating the mixture.

There therefore exists a need for an olefin metathesis catalyst system that can be used to catalyze olefin metathesis reactions and control the rate of the catalyzed metathesis reaction. There is also a need for an olefin metathesis catalyst system that can be used to control the pot life of a monomer/catalyst mixture in a ROMP reaction.

### SUMMARY

The present invention addresses these needs by providing a composition which may be used for catalyzing and controlling the rate of olefin metathesis reactions. The invention also provides a method for controlling an olefin metathesis reaction using the composition, a process for Ring Opening Metathesis Polymerization using the composition, and a method for molding polymer articles using ROMP catalyzed and controlled by the composition.

In one embodiment of the invention, the composition includes a Ruthenium or Osmium carbene complex catalyst and a gel modification additive. The Ruthenium or Osmium carbene complex catalyst includes a Ruthenium or Osmium metal center that is in a +2 oxidation state, has an electron count of 16, and is pentacoordinated and the gel modification additive is an electron donor or Lewis base. More specifically, the Ruthenium or Osmium carbene complex catalyst may have the formula

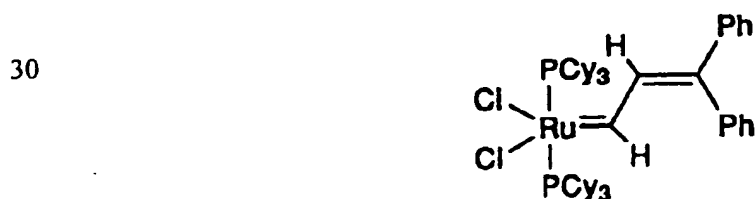


where M may be Os or Ru; R and R<sup>1</sup> may be the same or different and may be hydrogen or a substituent group including C<sub>2</sub>-C<sub>20</sub> alkenyl, C<sub>2</sub>-C<sub>20</sub> alkynyl, C<sub>1</sub>-C<sub>20</sub> alkyl, aryl, C<sub>1</sub>-C<sub>20</sub> carboxylate, C<sub>1</sub>-C<sub>20</sub> alkoxy, C<sub>2</sub>-C<sub>20</sub> alkenyloxy, C<sub>2</sub>-C<sub>20</sub> alkynyloxy, aryloxy, C<sub>2</sub>-C<sub>20</sub> alkoxycarbonyl, C<sub>1</sub>-C<sub>20</sub> alkylthio, C<sub>1</sub>-C<sub>20</sub>

alkylsulfonyl and C<sub>1</sub>-C<sub>20</sub> alkylsulfinyl; X and X' may be the same or different and may be any anionic ligand; and L and L' may be the same or different and may be neutral electron donor. The substituent groups may be substituted with one or more groups including C<sub>1</sub>-C<sub>3</sub> alkyl, halide, C<sub>1</sub>-C<sub>3</sub> alkoxy, and phenyl. The phenyl group may be substituted with one or more groups including halide, C<sub>1</sub>-C<sub>3</sub> alkyl and C<sub>1</sub>-C<sub>3</sub> alkoxy. In addition to the above groups, the substituent group may be substituted with one or more functional groups selected from the group consisting of hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, and halogen. In a preferred embodiment, the R and R' groups may be the same or different and may be hydrogen, substituted aryl, unsubstituted aryl, substituted vinyl, and unsubstituted vinyl; where the substituted aryl and substituted vinyl may be substituted with one or more groups selected from the group consisting of hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, halogen, C<sub>1</sub>-C<sub>3</sub> alkyl, C<sub>1</sub>-C<sub>3</sub> alkoxy, unsubstituted phenyl, and phenyl substituted with a halide, C<sub>1</sub>-C<sub>3</sub> alkyl or C<sub>1</sub>-C<sub>3</sub> alkoxy.

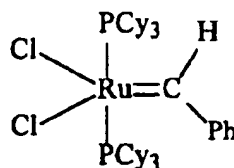
In a preferred embodiment, the gel modification additive is a neutral electron donor or a neutral Lewis base. The gel modification additive may be a phosphine, sulfonated phosphine, phosphite, phosphinite, phosphonite, arsine, stibine, ether, amine, amide, sulfoxide, carboxyl, nitrosyl, pyridine, or thioether. More specifically, the gel modification additive may be a trialkylphosphine or triarylphosphine. Preferred gel modification additives include P(cyclohexyl)<sub>3</sub>, P(cyclopentyl)<sub>3</sub>, P(isopropyl)<sub>3</sub>, P(phenyl)<sub>3</sub>, and pyridine.

In a preferred embodiment of the invention, the composition includes a catalyst of the formula



5

or

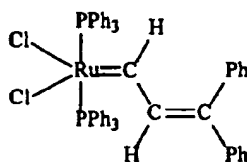


5

where Cy is cyclohexyl or cyclopentyl, and a gel modification additive having the formula  $\text{P(Phenyl)}_3$ .

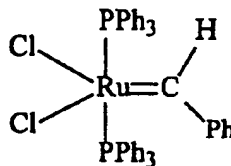
In another embodiment of the invention the composition includes a catalyst of the formula

10



or

15



and a gel modification additive of the formula  $\text{P(cyclohexyl)}_3$  or  $\text{P(cyclopentyl)}_3$ .

20

The invention also includes a method for olefin metathesis which includes the step of contacting an olefin with a composition according to the present invention. The olefin may be unfunctionalized or functionalized to contain one or more functional groups selected from the group consisting of hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, amide, nitro acid, carboxylic acid, disulfide, carbonate, carboalkoxy acid, isocyanate, carbodiimide, carboalkoxy, and halogen. The olefin may be a strained cyclic olefin, unstrained cyclic olefin, acyclic olefin, diene; or unsaturated polymer; each of which may be functionalized or unfunctionalized.

25

30

The invention also includes a process for the ring opening metathesis polymerization of functionalized or unfunctionalized cyclic olefins. This

process includes contacting a functionalized or unfunctionalized cyclic olefin with a composition according to the present invention. The cyclic olefins may be strained or unstrained and may be monocyclic, bicyclic, or multicyclic olefins. If the cyclic olefin is functionalized, it may contain one or more functional groups including of hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, amide, nitro acid, carboxylic acid, disulfide, carbonate, carboalkoxy acid, isocyanate, carbodiimide, carboalkoxy, and halogen. In a preferred embodiment, the cyclic olefin is cyclobutene, cycloheptene, cyclooctene, cyclononene, cyclodecene, cyclooctadiene, cyclononadiene, norbornene and dicyclopentadiene; each of which may be functionalized or unfunctionalized. In a more preferred embodiment, the cyclic olefin is dicyclopentadiene.

The invention also includes a process for molding polymer articles in which a mixture comprising a functionalized or unfunctionalized cyclic olefin and a composition according to the present invention is provided in a mold. The mixture may either be prepared in the mold or prepared outside of the mold and then introduced into the mold. The mixture is then left to at least partially polymerize to form a polymer article and the polymer article is removed from the mold. Alternatively, the mixture may be coated onto an article and left to at least partially polymerize to form a coating. The mixture may be made by preparing a mixture of monomer and gel modification additive and adding the catalyst. The process may also include heating the mold and heating the mixture. The process may also include adding a crosslinking initiator to the mixture. If the cyclic olefin is functionalized, it may contain one or more functional groups including of hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, amide, nitro acid, carboxylic acid, disulfide, carbonate, carboalkoxy acid, isocyanate, carbodiimide, carboalkoxy, and halogen. In a preferred embodiment, the cyclic olefin is cyclobutene, cycloheptene, cyclooctene, cyclononene, cyclodecene, cyclooctadiene, cyclononadiene, norbornene and



dicyclopentadiene; each of which may be functionalized or unfunctionalized. In a more preferred embodiment, the cyclic olefin is dicyclopentadiene.

The invention also includes a method for controlling the rate of an olefin metathesis reaction that is catalyzed by a metathesis catalyst having an open coordination site. This method includes the step of contacting an active catalyst having an open coordination site with an olefin in the presence of a means for coordinating the catalyst open coordination site. The means may be an electron donor or Lewis base.

10

### DETAILED DESCRIPTION

We have found that it is possible to control the rate of olefin metathesis reactions that are catalyzed by certain Ruthenium and Osmium carbene complex catalysts. More generally, we have found that it is possible to control the rate of polymerization in those reactions in which the polymerization mechanism involves an open coordination site at a catalyst metal center.

The Ruthenium and Osmium carbene complex catalysts that may be used in the present invention and methods of synthesizing these catalysts are taught in this specification and the following references, all of which are incorporated herein by reference: U.S. Patents 5,312,940 and 5,342,909; U.S. patent applications 08/282,827 (filed July 29, 1994), 08/282,826 (filed July 29, 1994), and 08/693,789 (filed July 31, 1996); and U.S. provisional patent application titled "Synthesis of Ruthenium Metathesis Catalysts from Ruthenium Hydride complexes", filed November 15, 1996, inventors Robert H. Grubbs, Tomas Belderrain, and Seth Brown, Attorney Docket No. CTCH-8600.

In one embodiment of the invention, olefin metathesis reactions are catalyzed and the rate of reaction is controlled by a composition that includes a Ruthenium or Osmium carbene complex catalyst as described above and a gel modification additive. We have chosen to name this second component a "Gel Modification Additive" because in certain reactions this component

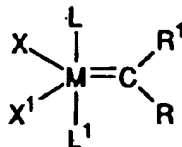
modifies the time in which the catalyst/monomer mixture gels, i.e. the time in which the mixture partially polymerizes. Generally however, by "Gel Modification Additive" we mean any substance that cooperates with the catalyst to change the rate of the catalyzed reaction. Most generally, we have found that the gel modification additive may be any electron donor or Lewis base.

The Ruthenium or Osmium carbene complex catalyst and gel modification additive composition may be used to catalyze and control the rate of reaction in a variety of olefin metathesis reactions. Examples of olefin metathesis reactions that may be catalyzed include Ring Opening Metathesis Polymerization of strained and unstrained cyclic olefins, Ring Closing Metathesis, cross- and self-metathesis of acyclic olefins, telechelic polymerization, and depolymerization of unsaturated polymers. These metathesis reactions are described in the following U.S. patent applications, all of which are incorporated herein by reference: Applications No. 08/550,679 (filed 10/31/95); 08/548,915 (filed 10/26/95); 08/548,445 (filed 10/26/95); 08/550,300 (filed 10/30/95), and 08/705,064 (filed 08/29/96).

The Ruthenium and Osmium carbene complex catalysts of the present invention are stable in the presence of a variety of functional groups including hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, amide, nitro acid, carboxylic acid, disulfide, carbonate, carboalkoxy acid, isocyanate, carbodiimide, carboalkoxy, and halogen. Since the catalysts are stable in the presence of these groups, the olefin substrate, the gel modification additive, and any substituent groups on the catalyst may include one or more of the above listed groups without deactivating the catalysts.

Preferred catalysts used in the polymerization reactions described here are of the general structure:

where M is Ru; R<sup>1</sup> is  
hydrogen; R is substituted  
or unsubstituted aryl or  
substituted or unsubstituted



5 vinyl; X and X<sup>1</sup> are Cl; and L and L<sup>1</sup> are triphenylphosphines or  
tricycloalkylphosphines such as tricyclopentylphosphine and  
tricyclohexylphosphine. The substituted aryl and substituted vinyl may each  
be substituted with one or more groups including C<sub>1</sub>-C<sub>5</sub> alkyl, halide, C<sub>1</sub>-C<sub>5</sub>  
alkoxy, and a phenyl group which may be optionally substituted with one or  
10 more halide, C<sub>1</sub>-C<sub>5</sub> alkyl, or C<sub>1</sub>-C<sub>5</sub> alkoxy groups. The substituted aryl and  
substituted vinyl may also be substituted with one or more functional groups  
including hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, amide, nitro  
acid, carboxylic acid, disulfide, carbonate, carboalkoxy acid, isocyanate,  
carbodiimide, carboalkoxy, and halogen. The preferred gel modification  
15 additives are neutral electron donors such as phosphines. A particularly  
preferred embodiment of the present invention includes the ruthenium catalyst  
where L and L<sup>1</sup> are both tricyclopentylphosphine and the gel modification  
additive is triphenylphosphine (PPh<sub>3</sub>).

## 20 GEL MODIFICATION ADDITIVE

The rate of metathesis reaction may be controlled by varying the  
amount of gel modification additive (see Table 2) and the gel modification  
additive itself (see Table 3). As is conventional, the metathesis reaction rate  
may also be controlled by varying the reaction temperature. Gel modification  
25 additives that may be used in the present invention include phosphines,  
sulfonated phosphines, phosphites, phosphinites, phosphonites, arsines,  
stibines, ethers, amines, amides, sulfoxides, carboxyls, nitrosyls, pyridines,  
thioethers, nitriles, thiophenes, and furans. Specific gel modification  
additives include tricyclopentylphosphine, tricyclohexylphosphine,  
30 triphenylphosphite, pyridine, propylamine, tributylphosphine, benzonitrile,  
triphenylarsine, anhydrous acetonitrile, thiophene, and furan. Preferred gel

modification additives include tricyclopentylphosphine, tricyclohexylphosphine, triisopropylphosphine, triphenylphosphine, and pyridine.

Without being bound by theory, we hypothesize that the gel  
5 modification additive operates by modifying the coordination environment of the catalyst. The gel modification additives of the present invention may be used to either enhance or reduce the rate of polymerization. The specific action of the gel modification additive will depend on both the additive used and the catalyst. The magnitude of the reduction or enhancement of the  
10 reaction will also depend on the concentration of the gel modification additive. Below we describe how to select a gel modification additive to achieve a specific desired action.

Triphenylphosphine is an example of a gel modification additive that acts to retard the rate of reaction when the catalyst has tricycloalkylphosphine  
15 L and L' ligands. In this case, the catalyst where L and L' are tricycloalkylphosphines is much more active in ROMP than the catalyst where L and L' are triphenylphosphines. When L and L' are tricycloalkylphosphines and the gel modification additive is triphenylphosphine, we therefore hypothesize that the added  
20 triphenylphosphine substitutes for the tricycloalkylphosphine in the coordination sphere of the ruthenium and decreases the activity of the catalyst. Generally, a gel modification additive decreases the rate of reaction if the catalyst becomes less active when its L and L' ligands are exchanged with the gel modification additive. Since monomer coordination is required  
25 for polymerization, the gel modification additive can also slow the polymerization reaction by competing with the monomer for coordination sites on the metal center. Hence, increasing the concentration of the gel modification additive will decrease the rate of polymerization reaction (See Table 3, samples 13-17).

30 Conversely, if the pot life (reaction time) is too long, as may be the case if a catalyst in which L and L' are triphenylphosphine is used, the pot

life can be decreased (i.e., the reaction rate increased) by adding a gel modification additive such as tricyclohexylphosphine or tricyclopentylphosphine (see Table 3, samples 29-31). In this case, we hypothesize that the tricycloalkylphosphine gel modification additive exchanges with the triphenylphosphine L and L' ligands leading to a more active catalyst. Generally, a gel modification additive increases the rate of reaction if the catalyst becomes more active when its L and L' ligands are exchanged with the gel modification additive. However in this case, as the concentration of gel modification additive is increased, the additive will compete with the monomer for coordination sites on the metal center and the additive may eventually act to decrease the rate of reaction.

For the change in ligand and ligand structure to affect the overall rate of reaction and the time between mixing and gel point, there must be sufficient time for the ligands and gel modification additive to totally equilibrate between being bound by the catalyst and being in solution in the monomer. In some cases, to obtain the maximum effect of a gel modification additive, it may be necessary to allow the gel modification additive and the catalyst complex to equilibrate in a non reactive solvent before the monomer is added. This is particularly important where exchange of the ligands and gel modification additive appears to be slow relative to the onset of polymerization such as cases where a very bulky gel modification additive such as tricyclohexylphosphine is being exchanged on to the catalyst complex. For an example of this, see the results for samples 30 and 33 in Table 3 below.

Since the gel modification additive is assumed to modify the coordination environment of the catalyst, we hypothesize that any substance capable of coordinating with the catalyst metal center will modify the rate of catalyzed reaction. This holds true not only for the specific Ruthenium and Osmium catalysts discussed above but also for any catalysts that initiate reaction through an open coordination site at a metal center. In the present invention, the gel modification additive may therefore be any species that is

capable of coordinating with an open coordination site at a catalyst metal center. Examples of gel modification additives that may be used include electron donors, Lewis bases, and nucleophiles.

5 If it is desired to speed up the reaction after the gel modification additive has been used, one may increase the reaction and/or mold temperature. By adjusting the amount of gel modification additive and the temperature, the pot life can be varied to the desired time. The amount of the additive required to achieve the desired pot life may depend on the coordinating ability of the gel modification additive used and the resulting catalyst activity of the substituted catalyst. As is shown in Table 3, some 10 Lewis basic gel modification additives such as phosphites and propylamine completely inhibit the catalyst while some gel modification additives such as triphenylarsine, nitriles, ethers and thiols have little effect on polymerization rate at the low levels explored. In addition to the phosphines examined, 15 pyridine was unexpectedly effective in controlling pot life.

To help determine the dependence of the reaction rate on the amount of gel modification additive, we can turn to some recent studies on the mechanistic pathways by which the Ru and Os carbene catalysts operate. A scientific article titled "Well-defined Ruthenium Olefin Metathesis Catalysts: Mechanism and Activity" by Eric L. Dias, SonBinh T. Nguyen, and Robert 20 H. Grubbs, summarizes the results of these studies and has recently been accepted for publication in the Journal of the American Chemical Society. This article is incorporated herein by reference. Among other things, these studies investigated the effect of adding phosphines on the rate of a Ring Closing Metathesis ("RCM") reaction catalyzed by ruthenium catalysts of the 25 type used in the present invention. The mechanistic pathways for other metathesis reactions such as ROMP are not expected be much different than those for the RCM reactions. In these studies, the added phosphine was the same as the L and L' ligands on the catalyst and therefore, by the arguments presented above, the added phosphine can only act to reduce the reaction rate 30 by competing with the monomer for the open coordination site. These

studies did in fact find that the added phosphine reduced the reaction rate; they further determined that the reaction rate was the sum of a term independent of the concentration of the added phosphine and a term inversely proportional to the concentration of the added phosphine. Since we expect the mechanism for metathesis reactions in general (and ROMP in particular) to be similar to that for RCM, the dependence of metathesis reaction rate on concentration of gel modification additive is expected to be similar to that found in the above study, at least in the case where the gel modification additive retards the reaction. Therefore an estimate of the dependence of the ROMP rate of reaction on the gel modification additive concentration could be achieved by performing just two separate reactions at different additive concentrations. In practice one may wish to run additional reactions at different additive concentrations to determine the best fit for the reaction rate.

#### ROMP OF DICYCLOPENTADIENE

ROMP reactions have been used in the formation of high polymers by the ring-opening of cyclic olefins. The reaction has been used effectively for Reaction Injection Molding of thermosets. For these systems, techniques have been developed for controlling the gel time of the polymer by controlling the rate of catalyst formation after the catalyst components are mixed. In the present invention, the ROMP catalysts are the ruthenium or osmium carbene complex catalysts described above. In contrast to catalysts used in earlier RIM and Resin Transfer Molding ("RTM") processes, these catalysts may be added to the monomer in the molding process in their "active" form. Also in contrast to the earlier RIM processes, the polymerization rate of the active catalyst can be controlled by adding a gel modification additive according to the present invention.

ROMP of dicyclopentadiene ("DCPD") is catalyzed by the above described catalysts. Cross-linking of the resulting poly DCPD has been explored commercially. See for example U.S. patent application No. 08/678,397 (filed 7/2/96) which is incorporated herein by reference.

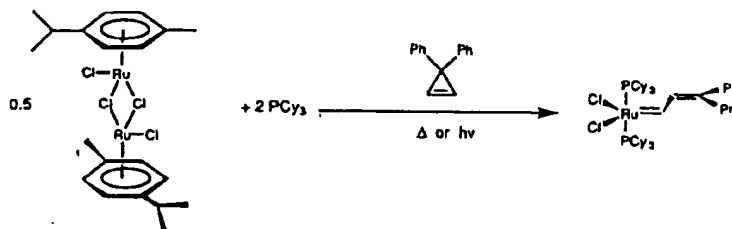
In one aspect of the invention, the pot life of the ROMP of DCPD is controlled by the addition of a gel modification additive to the reaction mixture. By adding the gel modification additive, the room temperature pot life of the polymerization mixture may be extended from about 1-9 minutes with no gel modification additive to greater than 2.5 hrs or more with the gel modification additive present.

The present invention provides numerous advantages, most importantly, the ability to control the gel time or pot life while still being able to completely polymerize the monomer in a short period of time. The present invention further provides the ability to completely stop the polymerization reaction through decreasing the temperature of a catalyst concentrate (i.e., a concentrated mixture of the catalyst and gel modification additive dissolved in the monomer). In this regard, we have surprisingly also found that adding the gel modification additive and lowering the temperature will allow the catalytic activity of the catalyst to be inhibited for extended periods of time (days or longer) and that the catalyst can then be "reactivated" by heating. Further, the addition of the gel modification additives according to the present invention have an unexpected desirable effect on the properties of the resulting polymers. For example, when triphenylphosphine was used as the gel modification additive, the heat distortion temperature (HDT) of the resulting DCPD part was significantly higher and the surface appearance of the resulting part was improved when compared to parts produced in the absence of a gel modification additive. We therefore deduce that a polyDCPD material that includes the gel modification additive of the present invention can have superior material properties compared to the material produced without any gel modification additive present.



EXPERIMENTALSynthesis of Ruthenium Complex Catalyst  $\text{Cl}_2\text{Ru}(\text{PCy}_3)_2(=\text{CHCH}=\text{CPh}_2)$ .

A Ruthenium complex catalyst of the present invention may be prepared in a one step synthesis as illustrated in the reaction sequence below.



In a typical reaction,  $[(\text{Cymene})\text{RuCl}_2]_2$  dimer complex (0.53g, 1.73 mmol Ru) and  $\text{PCy}_3$  (0.91 g, 2 equiv) were loaded under inert atmosphere into a 100 mL Schlenk flask equipped with a magnetic stirbar. Benzene (40 mL) was then added followed by 3,3-diphenylcyclopropene (0.33g, 1 equiv). The reaction flask was then attached to a reflux condenser under an inert atmosphere and heated in an oilbath at 83-85°C for 6 hours. The solvent was then removed to complete dryness *in vacuo* and the remaining red solid washed with pentane (4 x 25 mL) under inert atmosphere. The remaining red powder was dried under vacuum for 12 h and stored under an inert atmosphere yielding 1.4 g of  $\text{Cl}_2\text{Ru}(\text{PCy}_3)_2(=\text{CHCH}=\text{CPh}_2)$  in 88% yield.

Purification and Degassing of DCPD Monomer

500 mL DCPD was filtered under vacuum into a one liter round bottom flask through a 150 mL medium porosity sintered glass fritted funnel containing one inch of aluminum oxide. Lower grades of DCPD with lower freezing points can be used after similar purifying treatment.

To the round bottom flask, containing purified DCPD as above, was added a 1-inch magnetic stir bar. The flask was placed in a water bath 30-35°C and under 0.10 mm Hg vacuum with stirring for from 20 minutes to 1

hour. The degassed DCPD was then stored under vacuum and protected from light to prevent premature free radical polymerization.

We have found that due to the functional group tolerance of the catalysts of the present invention, we do not need to purify and degass the DCPD monomer before carrying out the polymerization.

DCPD Polymerization Without Gel Modification Additive using  
 $P(CyPentyl)_3)_2Cl_2Ru(=CHCH=CPh_2)$  catalyst

To a 250 mL Erlenmeyer flask containing a 1-inch magnetic stir bar, DCPD, purified and degassed, as described above, (147.9 g, 150 mL, 1.12 mol, 5000 eq) and  $P(CyPentyl)_3)_2Cl_2Ru(=CHCH=CPh_2)$  (188.5 mg, 0.224 mmol, 1 eq) were added. Stirring was initiated and optionally a slow flow of argon was introduced into the flask. The orange solution was stirred at room temperature for 8 minutes under argon until it became highly viscous. The solution was then poured open to air into a crystallization dish (14.6 cm in diameter) that had been previously stored at 120°C. After 2 minutes, the solution began to gel, and the production of smoke was observed over the following 2 minutes. At this point, the polymerization appeared complete, and the crystallization dish cooled to room temperature. The polymer separated from the sides of the crystallization dish easily. The polymer was post-cured at 120°C for 3 hours, resulting in poly(DCPD) (141.1 g, 95.4% yield).

DCPD Polymerization with Gel Modification Additive using  
 $P(CyPentyl)_3)_2Cl_2Ru(=CHCH=CPh_2)$  catalyst.

To a flask containing a stir bar, triphenylphosphine (95 mg), and DCPD, purified and degassed, as described above, (63.991 g) were added. The flask was stirred under vacuum for about 5 minutes.  $P(CyPentyl)_3)_2Cl_2Ru(=CHCH=CPh_2)$  (71 mg) was then added to the mixture and a slow flow of argon was introduced into the flask. The solution was then stirred at room temperature under argon. After 59 minutes, the acetone

test (See below) gave a "Flat Ball" result. The solution was then poured open to air into a mold that had been previously stored at 60.2°C. The peak temperature of the reaction occurred about 10 minutes after pouring, with a peak temperature of about 158°C.

5

#### Acetone Test

The acetone test was a subjective test used to measure the extent of the polymerization reaction. In this test, a few drops of the catalyzed DCPD were removed with a small pipet and dropped into a test tube of acetone.

10

Visual inspection yielded a qualitative measure of the extent of polymer formation. The visual results were described as "Nothing" (no polymerization), "Cloud", "Flat Ball" (some polymerization), and "Ball."

15

#### Dependence of pot life on concentration of Gel Modification Additive using $P(CyPentyl)_3)_2Cl_2Ru(=CHCH=CPh_2)$ catalyst: TABLE 1

20

203 mg of triphenylphosphine was added to 4.052g of 95% DCPD in a test tube and the test tube shaken until the triphenylphosphine was dissolved. 74 mg of  $P(CyPentyl)_3)_2Cl_2Ru(=CHCH=CPh_2)$  was then added, shaken by hand and then mixed with a stir bar for about 1-2 minutes. The mixture was then set aside. After 3 hours 20 minutes, the mixture was still fluid, i.e. only partial polymerization had occurred. The pot life of this reaction mixture is therefore greater than 3 hours 20 minutes. The reaction mixture was added to 60.06g of DCPD. The mixture was stirred slowly under vacuum (this is optional) for a further 5 minutes and then poured into a mold that had been preheated to 60.8°C. The peak exotherm occurred after about 19 minutes at a temperature of about 69.5°C. The mold was then allowed to cool to room temperature and the polymer removed from the mold and post cured at 190°C for 1 hour.

25

30

The experimental procedure described above was carried out for different concentrations of the gel modification additive and the results are summarized in Table 1.

Table 1

Sample	Monomer/ Catalyst	PPh <sub>3</sub> (g)	Catalyst (g)	Pot Life*	Peak Exotherm time (min)
1	5000:1	.203	.074	>3hr 20min	19
2	5000:1	.079	.071	2hr 14 min	~6
3	5000:1	.044	.071	49 min	~4½

\*The pot life for samples 2 and 3 is the time at which the polymerization mixture is becoming viscous but can still be poured.

DEPENDENCE OF GEL TIME ON CONCENTRATION OF  
GEL MODIFICATION ADDITIVE: TABLE 2

USING CATALYST (P(CyHexyl)<sub>3</sub>)<sub>2</sub> Cl<sub>2</sub>RuCHPh

The following reactions were carried out using a catalyst of the formula (P(CyHexyl)<sub>3</sub>)<sub>2</sub> Cl<sub>2</sub>RuCHPh the results of which are summarized in Table 2. The sample numbers relate to the entries in Table 2.

Sample #4

Pour into a 250 ml flask with stir bar approximately 64.6g of Velsicol VHP DCPD that has been filtered and degassed with vacuum. (Note: filtering and degassing are optional). Add .054g of catalyst (P(CyHexyl)<sub>3</sub>)<sub>2</sub> Cl<sub>2</sub>RuCHPh and stir for about 3 minutes. Pour into mold. Mold temperature was 34.4°C and the DCPD monomer temperature 31.5°C. Gel time was < 2 minutes & 45 seconds with peak exotherm at 4 minutes & 11 seconds and a peak temperature of 133.8°C.

Note: Gel time is defined as a) the time at which a stir bar ceases turning in a 250-ml flask during mixing of the catalyst / monomer; or b) the time at which a glass pipet lowered or pushed into a very high viscosity poured sample will no longer pick up or have "cling to" the pipet any of the polymerizing sample.

#### Sample #5

Pour into a 250-ml flask with stir bar approximately 64.0g of Velsicol VHP DCPD that has been filtered and degassed with vacuum. (Note: filtering and degassing are optional). Add .030g of triphenylphosphine and mix for about 5 minutes. Add .054g of catalyst  $(P(CyHexyl)_3)_2 Cl_2 RuCHPh$  and stir for about 3 minutes. Pour into mold. Mold temperature was 35.0°C and the DCPD monomer temperature 31.5°C.

#### Sample #6

Pour into a 250-ml flask with stir bar approximately 64.0g of Velsicol VHP DCPD that has been filtered and degassed with vacuum. (Note: filtering and degassing are optional). Add .065g of triphenylphosphine and mix for about 5 minutes. Add .054g of catalyst  $(P(CyHexyl)_3)_2 Cl_2 RuCHPh$  and stir for about 3 minutes. Pour into mold. Mold temperature was 37.8°C and the DCPD monomer temperature 33°C.

#### Sample #7

Pour into a 250-ml flask with stir bar approximately 64.0g of Velsicol VHP DCPD that has been filtered and degassed with vacuum. (Note: filtering and degassing are optional). Add .200g of triphenylphosphine and mix for about 5 minutes. Add .054g of catalyst  $(P(CyHexyl)_3)_2 Cl_2 RuCHPh$  and stir for about 3 minutes. Pour into mold. Mold temperature was 36.3°C and the DCPD monomer temperature 31°C.

#### Sample #8

In a 25 x 150mm test tube, add approximately 8.132g of Velsicol VHP DCPD that has been filtered and degassed with vacuum. (Note: filtering and degassing are optional). Add .060g of triphenylphosphine and mix until dissolved - about 3-5 minutes. Add .054g of catalyst  
5 (P(CyHexyl)<sub>3</sub>)<sub>2</sub> Cl<sub>2</sub>RuCHPh and stir for about 3 minutes. Then put test tube into a dry ice / acetone bath for approximately 30 seconds to cool, and then put sample into a 35°F refrigeration and leave overnight.

The following day, weigh out about 56g of Velsicol VHP that has been filtered and degassed with vacuum. (Note: filtering and degassing are  
10 optional). Add the frozen Catalyst/TPP/DCPD concentrate pellet to the 56g of DCPD monomer and mix until dissolved - about 49 seconds. Resin temperature is 35°C. Mix for 3 minutes longer and pour into a 33.8°C mold. Resin temperature at pour = 35.4°C.

15 Sample #9

In a 250-ml flask with stir bar add approximately 64.0g of Lyondell 108 94.04% DCPD that has been filtered and degassed with vacuum. Add .055g of Triphenylphosphine and mix for about 5 minutes. Add .054g of catalyst (P(CyHexyl)<sub>3</sub>)<sub>2</sub> Cl<sub>2</sub>RuCHPh and mix for about 3 minutes. Pour into  
20 mold. Mold temperature is 38°C and the DCPD monomer temperature 32°C.

Sample #10

In a 250-ml flask with stir bar add approximately 64.0g of Lyondell 108 94.04% DCPD that has been filtered and degassed with vacuum. Add  
25 .054g of catalyst (P(CyHexyl)<sub>3</sub>)<sub>2</sub> Cl<sub>2</sub>RuCHPh and mix for 3 minutes. Pour into mold. Mold temperature is 38°C and the DCPD monomer temperature 32°C.

Sample #11

30 Pour into a 250-ml flask with stir bar approximately 64.1g of Velsicol VHP DCPD that has been filtered and degassed with vacuum. (Note:

filtering and degassing is optional). Add .200g of triphenylphosphine and mix for about 5 minutes. Add .080g of catalyst  $(P(CyHexyl)_3)_2 Cl_2RuCHPh$  and stir for about 3 minutes. Pour into mold. Mold temperature was 32°C and the DCPD monomer temperature 33°C.

5

#### Sample #12

In a 25 x 150mm test tube, add approximately 6.0g of Velsicol VHP DCPD that has been filtered and degassed with vacuum. (Note: filtering and degassing are optional). Add .011g of triphenylphosphine and mix until dissolved - about 3-5 minutes. Add .054g of catalyst  $(P(CyHexyl)_3)_2 Cl_2RuCHPh$  and stir for about 3 minutes. Put 58g of Velsicol VHP DCPD into a flask and mix in test tube with Catalyst/Monomer/TPP mix for one minute. Pour into mold. Mold temperature is 37.9°C. Resin temperature about 31.8°C.

15

### DEPENDENCE OF GEL TIME ON GEL MODIFICATION ADDITIVE: TABLE 3

The following experiments use a similar format to those set forth in Table 2, but the gel modification additive was varied. The results of these experiments are summarized in Table 3. These experiments used two different catalysts:  $(P(CyHexyl)_3)_2 Cl_2RuCHPh$  and  $(PPh_3)_2 Cl_2Ru(=CHCH=CMe_2)$ . Other than for the five specific experiments described below (Sample #s 29-33), all results presented in Table 3 are for the  $(P(CyHexyl)_3)_2 Cl_2RuCHPh$  catalyst. The sample numbers relate to the entries in table 3.

25

#### USING CATALYST $(P(CyHexyl)_3)_2 Cl_2RuCHPh$ : Sample #s 13-28

30

In a 250-mL flask approximately 64.0g of filtered and degassed Velsicol VHP DCPD was added. The gel modification additive was added.

After mixing until dissolved or 5 minutes, the catalyst  $(P(\text{CyHexyl})_3)_2\text{Cl}_2\text{RuCHPh}$  was added. After mixing for about 3 minutes (or less if gelation had occurred) the mixture was poured into a mold.

5      USING CATALYST  $(\text{PPh}_3)_2\text{Cl}_2\text{Ru}(=\text{CHCH}=\text{CMe}_2)$ : Sample #s 29-33

The following reactions were carried out using the  $(\text{PPh}_3)_2\text{Cl}_2\text{Ru}(=\text{CHCH}=\text{CMe}_2)$  catalyst.

Sample 29

10            Pour into a 250-ml flask with stir bar approximately 64.0g of Velsicol VHP DCPD that has been filtered and degassed with vacuum. (Note: filtering and degassing are optional). Add .020g of tricyclohexylphosphine and mix for about 5 minutes. Add .049g of catalyst  $(\text{PPh}_3)_2\text{Cl}_2\text{Ru}(=\text{CHCH}=\text{CMe}_2)$  and mix for 3 minutes. Pour into mold. Mold  
15            temperature was 39.2°C and the Resin temperature 33.6°C.

Sample 30

              Pour into a 250-ml flask with stir bar approximately 64.0g of Velsicol VHP DCPD that has been filtered and degassed with vacuum. (Note:  
20            filtering and degassing are optional). Add .054g of tricyclohexylphosphine and mix for about 5 minutes. Add .049g of catalyst  $(\text{PPh}_3)_2\text{Cl}_2\text{Ru}(=\text{CHCH}=\text{CMe}_2)$  and mix for 3 minutes. Pour into mold. Mold  
              temperature was 37.5°C and the resin temperature  $\approx 32.0^\circ\text{C}$ .

25      Sample #31

              Pour into a 250-ml flask with stir bar approximately 64.0g of Velsicol VHP DCPD that has been filtered and degassed with vacuum. (Note:  
              filtering and degassing are optional). Add .032g of tricyclohexylphosphine and mix for about 5 minutes. Add .049g of catalyst  
30             $(\text{PPh}_3)_2\text{Cl}_2\text{Ru}(=\text{CHCH}=\text{CMe}_2)$  and mix for 3 minutes. Pour into mold. Mold  
              temperature was 39.3°C and the resin temperature 32.0°C.



Sample 32

Pour into a 250-ml flask with stir bar approximately 64.0g of Velsicol VHP DCPD that has been filtered and degassed with vacuum. (Note: filtering and degassing are optional). Add .049g of catalyst  
5 (PPh<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>Ru(=CHCH=CMe<sub>2</sub>) and mix for 3 minutes. Pour into mold. Mold temperature was 40.6°C and the Resin temperature 34.0°C.

Sample #33

In a 25 x 150 mm test tube, add .051g of catalyst  
10 (PPh<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>Ru(=CHCH=CMe<sub>2</sub>) and 4-6g of dichloromethane. Add stir bar and mix for about 5 minutes or until catalyst appears to dissolve. Then add .052g tricyclohexylphosphine and purge test tube with argon. Cap test tube and mix at room temperature for 2 hours. After 2 hours, pull off  
15 dichloromethane with a vacuum and wash catalyst mixture with another dichloromethane wash. Now add approximately 10.0g of Velsicol VHP DCPD that has not been filtered or degassed, to the test tube and mix rapidly for about 1 minute or until the catalyst mixture is dissolved in the DCPD. Add the DCPD/catalyst mixture to a flask containing 54.0g of Velsicol VHP DCPD that has not been filtered or degassed. Continue mixing for about 3  
20 minutes total mix time. Pour into mold. Mold temperature was 38.3°C and the resin temperature 32.0°C.

TABLE 2											
Triphenylphosphine Level vs. Gel Time											
Sample #	Monomer/ Catalyst	Weight DCPD(g)	PPh <sub>3</sub> (g)	Catalyst(g)	Mold Temp. °C	Resin Temp. °C	Gel Time (min)	Peak Exotherm Time (min)	Peak Exotherm Temp. °C	Part Cure Schedule	264 psi HDT °C
4	7500:1	64.6	0	.054	34.4	31.5	<2.75	4.18	133.8	a	59
5	7500:1	64.0	.030	.054	35.0	31.5	11.00	16.28	143.6	a	122.5
6	7500:1	64.0	.065	.054	37.8	33.0	25.00	27.00	42.0	a	126.5
7	7500:1	64.0	.200	.054	36.3	31.0	>99.00	53.00	37.6	a	69
8	7500:1	64.0	.060	.054	33.8	35.4	16.00	17.00	38.2	a	130

9	7500:1	64.0	.055	.054	38.0	32.0	>109.00	-	-	a	114
10	7500:1	64g	0	.054	38.0	32.0	<7.00	18.58	68.3	a	109
11	5000:1	64.1g	.200	.080	32.0	33.0	>154.00	-	-	a	128
12	7500:1	64.0	.011	.054	37.9	31.8	<4.00	9.56	161.1	-	-

Cure Schedule

: 1 hour and 15 minutes @ 130°C

TABLE 3									
Gel Modification Additives vs. Gel Time									
Sample #	Weight of Catalyst(g)	Weight of DCPD(g)	Gel* Modification Additive	Additive Amount	Mold Temp °C	Resin Temp. °C	Gel Time (min)	Peak Exotherm	
								Time (min)	Temp. °C
13	.054	64.0	#1	.010g	36.4	31.0	6.00	7.33	160.3
14	.054	64.0	#1	.028g	36.2	31.0	9.00	10.83	173.2
15	.054	64.0	#1	.073g	36.3	31.0	21.00	38.30	91.5
16	.054	64.1	#2	.069g	38.6	33.3	6.00	7.20	190.4
17	.054	64.0	#2	.150g	36.3	33.2	11.00	13.75	184.9
18	.054	64.0	#3	.084g	35.9	32.5	none	none	none
19	.054	64.0	#4	.061g	37.1	31.0	10.00	15.10	145.1
20	.054	64.0	#5	.046g	36.6	32.0	none	none	none
21	.054	64.6	#6	.062g	35.0	31.0	none	none	none
22	.056	64.0	#7	.066g	33.1	32.0	1.50	too fast to measure	
23	.054	64.0	#7	.150g	33.0	32.0	≈2.50	4.03	148.4

24	.054	64.3	#8	.062g	34.0	32.0	1.50	too fast to measure	
25	.054	64.0	#8	.290g	≈35.0	32.0	2.75	-	-
26	.054	64.0	#9	.150ml	35.6	32.0	1.23	too fast to measure	
27	.054	64.0	#10	.150ml	33.9	32.0	1.88	too fast to measure	
28	.054	64.0	#11	.150ml	33.6	32.0	1.32	too fast to measure	
29	.049	64.0	#2	.020g	39.2	33.6	9.00	15.00	44.2
30	.049	64.0	#2	.054g	37.5	32.0	12.00	21.00	48.00
31	.049	64.0	#1	.032g	39.3	32.0	>16.00	14.00	14.00
32	.049	64.0	none	none	40.6	34.0	>60.0	-	-
33	.051	64.0	#2	.052g	38.3	32.0	13.00	20.75	111.7

## Gel Modification Additives

*#1	Tricyclopentylphosphine	*#2	Tricyclohexylphosphine
*#3	Triphenylphosphite	*#4	Pyridine
*#5	Propylamine	*#6	Tributylphosphine
*#7	Benzonitrile	*#8	Triphenylarsine
*#9	Anhydrous Acetonitrile	*#10	Thiophene
*#11	Furan		

The results for samples 4-7 (Table 2) demonstrate that when using a  $(P(CyHexyl)_3)_2 Cl_2 RuCHPh$  catalyst, increasing the concentration of the gel modification additive  $PPh_3$  increases the gel time (i.e., decreases the reaction rate). This is as expected: the catalyst used in samples 4-7 includes trihexylphosphine L and L<sup>1</sup> ligands and since exchanging these ligands for  $PPh_3$  yields a less active catalyst, the added  $PPh_3$  may only act to retard the reaction. These results also show that polymer articles molded with DCPD containing a gel modification additive possess superior heat deflection temperature properties as compared with an article molded with DCPD that does not contain a gel modification additive.

5 The gel time, peak exotherm characteristics, and HDT test results are very similar for samples 8 and 6 (Table 2). Since these samples were prepared by similar methods except that in sample 8 a catalyst/gel modification additive/monomer concentrate was prepared and frozen overnight, this demonstrates that the catalyst may be inhibited for long periods of time and then  
10 be "reactivated" by heating.

The results for samples 13-15 (Table 3) demonstrate that when using a  $(P(CyHexyl)_3)_2 Cl_2 RuCHPh$  catalyst, increasing the concentration of the gel modification additive  $P(cyclopentyl)_3$  increases the gel time (i.e., decreases the reaction rate). This demonstrates that the gel modification additive may decrease  
20 the reaction rate by competing with the monomer for the open coordination site.

The results for samples 16 and 17 (Table 3) further demonstrate that increasing the concentration of the gel modification additive increases the gel time (i.e., decreases the reaction rate). In this case, the gel modification additive is the same as the L and L<sup>1</sup> ligands (both tricyclohexylphosphine). Since the gel modification additive and the L and L<sup>1</sup> ligands are the same, ligand exchange cannot effect the  
25 rate of reaction and we expect the additive to retard the reaction by competing with the monomer for the open coordination site.

Finally, the results for samples 29-33 (Table 3) demonstrate that when using a  $(PPh_3)_2 Cl_2 Ru(=CHCH=CMe_2)$  catalyst, adding either  $P(cyclopentyl)_3$  or

$\text{P}(\text{cyclohexyl})_3$  as a gel modification additive decreases the gel time (i.e., increases the reaction rate) compared to the gel time for the reaction with no additive (sample 32). This result is as expected since the catalyst with  $\text{P}(\text{cyclopentyl})_3$  or  $\text{P}(\text{cyclopentyl})_3$  L and  $\text{L}^1$  ligands is more active than that with  $\text{PPh}_3$  ligands.

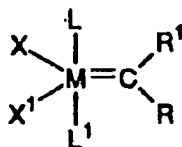
What is claimed is:

1. A composition comprising:

- (a) a Ruthenium or Osmium carbene complex catalyst that includes a Ruthenium or Osmium metal center that is in a +2 oxidation state, has an electron count of 16, and is pentacoordinated; and
- (b) an electron donor or Lewis base.

2. A composition according to claim 1, wherein the Ruthenium or Osmium carbene complex catalyst has the formula

10



where:

15

M is selected from the group consisting of Os and Ru;

R and R<sup>1</sup> are independently selected from the group consisting of hydrogen and a substituent group selected from the group consisting of C<sub>2</sub>-C<sub>20</sub> alkenyl, C<sub>2</sub>-C<sub>20</sub> alkynyl, C<sub>1</sub>-C<sub>20</sub> alkyl, aryl, C<sub>1</sub>-C<sub>20</sub> carboxylate, C<sub>1</sub>-C<sub>20</sub> alkoxy, C<sub>2</sub>-C<sub>20</sub> alkenyloxy, C<sub>2</sub>-C<sub>20</sub> alkynyloxy, aryloxy, C<sub>2</sub>-C<sub>20</sub> alkoxycarbonyl, C<sub>1</sub>-C<sub>20</sub> alkylthio, C<sub>1</sub>-C<sub>20</sub> alkylsulfonyl and C<sub>1</sub>-C<sub>20</sub> alkylsulfinyl;

20

X and X<sup>1</sup> are independently selected from any anionic ligand; and

L and L<sup>1</sup> are independently selected from any neutral electron donor.

25

3. A composition according to claim 2, wherein the substituent group is substituted with one or more groups selected from the group consisting of C<sub>1</sub>-C<sub>5</sub> alkyl, halide, C<sub>1</sub>-C<sub>5</sub> alkoxy, and phenyl.



4. A composition according to claim 3, wherein the phenyl group is substituted with one or more groups selected from the group consisting of halide, C<sub>1</sub>-C<sub>3</sub> alkyl, and C<sub>1</sub>-C<sub>3</sub> alkoxy.

5 5. A composition according to claim 2, wherein the substituent group is substituted with one or more functional groups selected from the group consisting of hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, and halogen.

10

6. A composition according to claim 2, wherein R and R<sup>1</sup> are independently selected from the group consisting of hydrogen, substituted aryl, unsubstituted aryl, substituted vinyl, and unsubstituted vinyl; and

15

wherein the substituted aryl and substituted vinyl are each substituted with one or more groups selected from the group consisting of hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, halogen, C<sub>1</sub>-C<sub>3</sub> alkyl, C<sub>1</sub>-C<sub>3</sub> alkoxy, unsubstituted phenyl, and phenyl substituted with a halide, C<sub>1</sub>-C<sub>3</sub> alkyl or C<sub>1</sub>-C<sub>2</sub> alkoxy.

20

7. A composition according to claim 2, wherein L and L<sup>1</sup> are independently selected from the group consisting of phosphine, sulfonated phosphine, phosphite, phosphinite, phosphonite, arsine, stibine, ether, amine, amide, sulfoxide, carboxyl, nitrosyl, pyridine, and thioether.

25

8. A composition according to claim 7, wherein L and L<sup>1</sup> are phosphines independently selected from PR<sup>3</sup>R<sup>4</sup>R<sup>5</sup> wherein R<sup>3</sup> is selected from the group consisting of secondary alkyl and cycloalkyl and wherein R<sup>4</sup> and R<sup>5</sup> are

independently selected from the group consisting of aryl, C<sub>1</sub>-C<sub>10</sub> primary alkyl, secondary alkyl, and cycloalkyl.

5 9. A composition according to claim 8, wherein L and L<sup>1</sup> are independently selected from the group consisting of -P(cyclohexyl)<sub>3</sub>, -P(cyclopentyl)<sub>3</sub>, and -P(isopropyl)<sub>3</sub>.

10 10. A composition according to claim 7, wherein L and L<sup>1</sup> are both -P(phenyl)<sub>3</sub>.

11. A composition according to claim 7, wherein L and L<sup>1</sup> are the same.

15 12. A composition according to claim 2, wherein X and X<sup>1</sup> are independently selected from the group consisting of halogen, hydrogen; C<sub>1</sub>-C<sub>20</sub> alkyl, aryl, C<sub>1</sub>-C<sub>20</sub> alkoxide, aryloxy, C<sub>3</sub>-C<sub>20</sub> alkyldiketonate, aryldiketonate, C<sub>1</sub>-C<sub>20</sub> carboxylate, aryl or C<sub>1</sub>-C<sub>20</sub> alkylsulfonate, C<sub>1</sub>-C<sub>20</sub> alkylthio, C<sub>1</sub>-C<sub>20</sub> alkylsulfonyl, or C<sub>1</sub>-C<sub>20</sub> alkylsulfinyl; each optionally substituted with C<sub>1</sub>-C<sub>5</sub> alkyl, halogen, C<sub>1</sub>-C<sub>5</sub> alkoxy or with a phenyl group optionally substituted with halogen, C<sub>1</sub>-C<sub>5</sub> alkyl or C<sub>1</sub>-C<sub>5</sub> alkoxy;

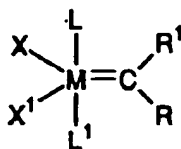
20 13. A composition according to claim 12, wherein X and X<sup>1</sup> are independently selected from Cl, Br, I, H; benzoate, C<sub>1</sub>-C<sub>5</sub> carboxylate, C<sub>1</sub>-C<sub>5</sub> alkyl, phenoxy, C<sub>1</sub>-C<sub>5</sub> alkoxy, C<sub>1</sub>-C<sub>5</sub> alkylthio, aryl, or C<sub>1</sub>-C<sub>5</sub> alkyl sulfonate; each optionally substituted with C<sub>1</sub>-C<sub>5</sub> alkyl or a phenyl group optionally substituted with  
25 halogen, C<sub>1</sub>-C<sub>5</sub> alkyl or C<sub>1</sub>-C<sub>5</sub> alkoxy.

14. A composition according to claim 13, wherein X and X<sup>1</sup> are independently selected from the group consisting of Cl, CF<sub>3</sub>CO<sub>2</sub>, CH<sub>3</sub>CO<sub>2</sub>, CFH<sub>2</sub>CO<sub>2</sub>,

$(\text{CH}_3)_3\text{CO}$ ,  $(\text{CF}_3)_2(\text{CH}_3)\text{CO}$ ,  $(\text{CF}_3)(\text{CH}_3)_2\text{CO}$ ,  $\text{PhO}$ ,  $\text{MeO}$ ,  $\text{EtO}$ , tosylate, mesylate, and trifluoromethanesulfonate.

15. A composition according to claim 14, wherein X and X' are both Cl.

16. A composition according to claim 1, wherein the Ruthenium or Osmium carbene complex catalyst has the formula



where:

M is Ru;

R' is hydrogen;

R is substituted aryl, unsubstituted aryl, substituted vinyl, or unsubstituted vinyl;

X and X' are Cl; and

L and L' are triphenylphosphines or tricycloalkylphosphines.

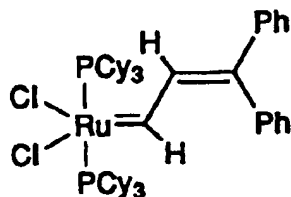
17. A composition according to claim 16, wherein the substituted aryl is substituted with one or more groups selected from the group consisting of  $\text{C}_1\text{-C}_5$  alkyl, halide,  $\text{C}_1\text{-C}_5$  alkoxy, unsubstituted phenyl, and phenyl substituted with a halide,  $\text{C}_1\text{-C}_5$  alkyl or  $\text{C}_1\text{-C}_5$  alkoxy.

18. A composition according to claim 16, wherein the substituted vinyl is substituted with one or more groups selected from the group consisting of  $\text{C}_1\text{-C}_5$  alkyl, halide,  $\text{C}_1\text{-C}_5$  alkoxy, unsubstituted phenyl, and phenyl substituted with a halide,  $\text{C}_1\text{-C}_5$  alkyl or  $\text{C}_1\text{-C}_5$  alkoxy.

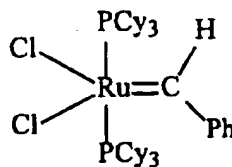
19. A composition according to claim 16, wherein the substituted aryl is substituted with one or more functional groups selected from the group consisting of hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, and halogen.

20. A composition according to claim 16, wherein the substituted vinyl is substituted with one or more functional groups selected from the group consisting of hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, and halogen.

21. A composition according to claim 1, wherein the catalyst is selected from the group consisting of



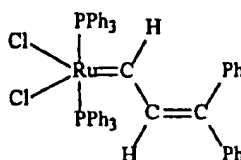
and



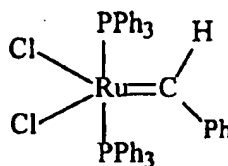
wherein Cy is cyclohexyl or cyclopentyl.

35

22. A composition according to claim 1, wherein the catalyst is selected from the group consisting of



and



23. A composition according to claim 1, wherein component (b) is a neutral electron donor or neutral Lewis base.

15

24. A composition according to claim 1, wherein component (b) is selected from the group consisting of phosphines, sulfonated phosphines, phosphites, phosphinites, phosphonites, arsines, stibines, ethers, amines, amides, sulfoxides, carboxyls, nitrosyls, pyridines, thioethers, nitriles, thiophenes, and furans.

20

25. A composition according to claim 24, wherein component (b) is a trialkyl or triaryl phosphine.

26. A composition according to claim 1, wherein component (b) is selected from the group consisting of P(cyclohexyl)<sub>3</sub>, P(cyclopentyl)<sub>3</sub>, P(isopropyl)<sub>3</sub>, and pyridine.

25

27. A composition according to claim 1, wherein component (b) is P(phenyl)<sub>3</sub>.

28. A composition according to claim 1, wherein component (b) is selected from the group consisting of tricyclopentylphosphine, tricyclohexylphosphine, triphenylphosphite, pyridine, propylamine, tributylphosphine, benzonitrile, triphenylarsine, anhydrous acetonitrile, thiophene, and furan.

5

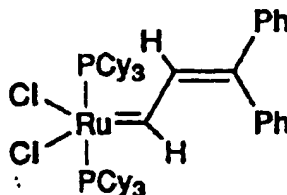
29. A composition according to claim 1, wherein component (b) contains one or more functional groups selected from the group consisting of hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, amide, nitro acid, carboxylic acid, disulfide, carbonate, carboalkoxy acid, isocyanate, carbodiimide, carboalkoxy, and halogen.

10

30. A composition; comprising:

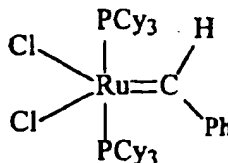
(a) a compound selected from the group consisting of

15



and

20



wherein Cy is tricyclohexyl or tricyclopentyl;

and

25

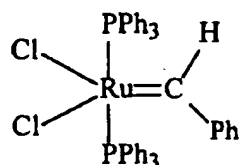
(b) triphenylphosphine or pyridine.

31. A composition, comprising

(a) a compound selected from the group consisting of

37

5



and

(b) tricyclohexylphosphine or tricyclopentylphosphine.

10

32. A method for olefin metathesis, the method comprising contacting a functionalized or unfunctionalized olefin with a composition as described in claim 1.

15

33. A method according to claim 32, wherein the olefin is functionalized and contains one or more functional groups selected from the group consisting of hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, amide, nitro acid, carboxylic acid, disulfide, carbonate, carboalkoxy acid, isocyanate, carbodiimide, carboalkoxy, and halogen.

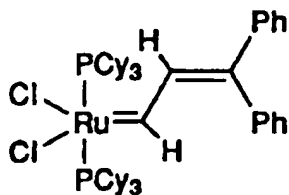
20

34. A method according to claim 32, wherein the olefin is selected from the group consisting of strained cyclic olefins, unstrained cyclic olefins, acyclic olefins, dienes, and unsaturated polymers; each of which may be functionalized or unfunctionalized.

25

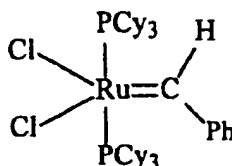
35. A method according to claim 32, wherein the composition comprises:

(a) a compound selected from the group consisting of



38

and

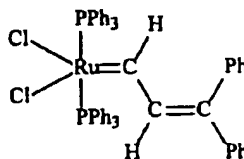


wherein Cy is cyclohexyl or cyclopentyl; and

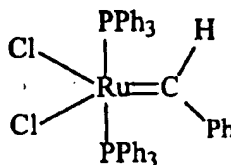
(b) P(Phenyl)<sub>3</sub> or pyridine.

36. A method according to claim 32, wherein the composition comprises:

(a) a compound selected from the group consisting of



and



and

(b) P(cyclohexyl)<sub>3</sub> or P(cyclopentyl)<sub>3</sub>.

37. A process for the ring opening metathesis polymerization of functionalized or unfunctionalized cyclic olefins, the process comprising the step of contacting a functionalized or unfunctionalized cyclic olefins with a composition as described in claim 1.

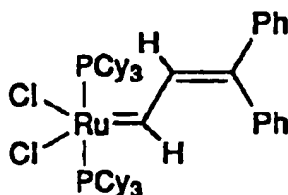


38. A process according to claim 37, wherein the cyclic olefin is a functionalized cyclic olefin that contains one or more functional groups selected from the group consisting of hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, amide, nitro acid, carboxylic acid, disulfide, carbonate, carboalkoxy acid, isocyanate, carbodiimide, carboalkoxy, and halogen.

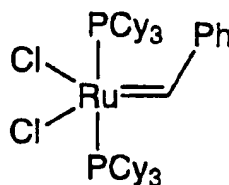
39. A process according to claim 37, wherein the cyclic olefin is selected from the group consisting of cyclobutene, cycloheptene, cyclooctene, cyclononene, cyclodecene, cyclooctadiene, cyclononadiene, norbornene and dicyclopentadiene, each of which may be functionalized or unfunctionalized.

40. A process according to claim 37, wherein the cyclic olefin is dicyclopentadiene.

41. A process according to claim 37, wherein the composition comprises:  
(a) a compound selected from the group consisting of



and

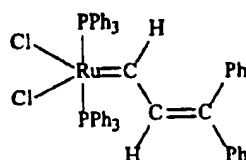


wherein Cy is cyclohexyl or cyclopentyl; and

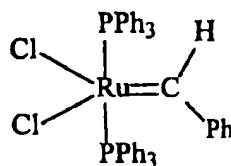
(b) P(Phenyl)<sub>3</sub> or pyridine.

42. A process according to claim 37, wherein the composition comprises:

- (a) a catalyst selected from the group consisting of



and



and

- (b)  $\text{P}(\text{cyclohexyl})_3$  or  $\text{P}(\text{cyclopentyl})_3$ .

15 43. A process for molding polymer articles, comprising the steps of:

- (a) providing, in a mold, a mixture comprising a functionalized or unfunctionalized cyclic olefin and a composition as described in claim 1;
- (b) waiting until the mixture at least partially polymerizes to give a polymer article; and
- 20 (c) removing the polymer article from the mold.

44. A process according to claim 43, wherein step (a) comprises the steps of:

- 25 (i) preparing a mixture comprising the functionalized or unfunctionalized cyclic olefin and the composition as described in claim 1; and
- (ii) introducing the mixture into a mold.

45. A process according to claim 44, wherein step (i) comprises the steps of:

41

- (1) preparing a mixture comprising the functionalized or unfunctionalized cyclic olefin and component (b) of the composition as described in claim 1; and
- (2) adding the metathesis catalyst to the mixture of the functionalized or unfunctionalized cyclic olefin and component (b) of the composition as described in claim 1.
46. A process according to claim 43, further comprising the step of heating the mold.
47. A process according to claim 44, further comprising the step of heating the mold before the mixture is introduced into the mold.
48. A process according to claim 44, further comprising the step of heating the mold after the mixture is introduced into the mold.
49. A process according to claim 43, further comprising the step of heating the mixture.
50. A process according to claim 44, further comprising the step of heating the mixture before it is introduced into the mold.
51. A process according to claim 44, further comprising the step of heating the mixture after it has been introduced into the mold.
52. A process according to claim 43, further comprising the step of adding a crosslinking initiator to the mixture.

53. A process according to claim 44, further comprising adding a crosslinking initiator to the mixture before the mixture is introduced into the mold.

5 54. A process according to claim 43, wherein the cyclic olefin is a functionalized cyclic olefin containing one or more functional groups selected from the group consisting of hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, amide, nitro acid, carboxylic acid, disulfide, carbonate, carboalkoxy acid, isocyanate, carbodiimide, carboalkoxy, and halogen.

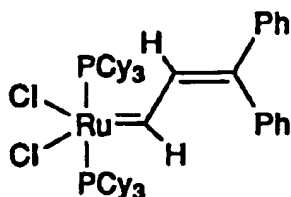
10 55. A process according to claim 43, wherein the cyclic olefin is selected from the group consisting of cyclobutene, cycloheptene, cyclooctene, cyclononene, cyclodecene, cyclooctadiene, cyclononadiene, norbornene and dicyclopentadiene, each of which may be functionalized or unfunctionalized.

15 56. A process according to claim 43, wherein the cyclic olefin is dicyclopentadiene.

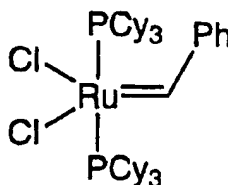
43

57. A process according to claim 43, wherein the composition comprises:

(a) a compound selected from the group consisting of



and



15 wherein Cy is cyclohexyl or cyclopentyl; and

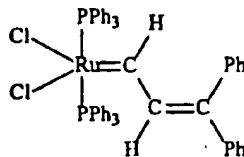
(b) P(Phenyl)<sub>3</sub> or pyridine.

58. A process according to claim 57, wherein the cyclic olefin is dicyclopentadiene.

20

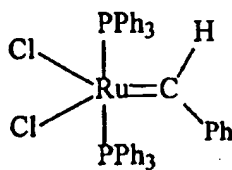
59. A process according to claim 43, wherein the composition comprises:

(a) a compound selected from the group consisting of



44

and



5

and

(b) P(cyclohexyl)<sub>3</sub> or P(cyclopentyl)<sub>3</sub>.

10

60. A process according to claim 59, wherein the cyclic olefin is dicyclopentadiene.

15

61. A method for controlling the rate of an olefin metathesis reaction that is catalyzed by a metathesis catalyst having an open coordination site, the method comprising contacting an active catalyst having an open coordination site with an olefin in the presence of means for coordinating the catalyst open coordination site.

20

62. A method according to claim 57, wherein the means for coordinating the catalyst open coordination site is an electron donor or Lewis base.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US96/19216

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC(6) : C08F 4/80, 32/04, 32/08 US CL : 526/135, 170, 171, 172, 183, 280, 281, 283; 556/16, 22, 41, 136 According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) U.S. : 526/135, 170, 171, 172, 183, 280, 281, 283; 556/16, 22, 41, 136 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,342,909 A (GRUBBS ET AL.) 30 August 1994, see entire document.	1-62
X	US 5,312,940 A (GRUBBS ET AL.) 17 May 1994, see entire document.	1-62
X	BURRELL et al. Synthesis and Reactions of $\text{Ru}(=\text{CH}_2)\text{Cl}(\text{NO})(\text{PPh}_3)_2$ , a Stable Terminal Methylene Complex and the Crystal Structure of $\text{Ru}(\text{CH}_2\text{PPh}_3)(\eta^2\text{-C}_2\text{F}_4)\text{Cl}(\text{NO})(\text{PPh}_3)$ . J. Chem. Soc. Dalton Trans. 1991, pages 609-614. see entire document.	1-62
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* Special categories of cited documents: *A* document defining the general state of the art which is not considered to be of particular relevance *E* earlier document published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art *Z* document member of the same patent family	
Date of the actual completion of the international search 13 MARCH 1997		Date of mailing of the international search report 10 APR 1997
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230		Authorized officer BERNARD LIPMAN <i>[Signature]</i> Telephone No. (703) 308-2351